

| | | |
|---|---|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A04P1008 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): Michael Benser and Gene A. Bornzin | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

U.S. PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Name | Class / Subclass | Filing Date |
|----------------------|------------------------|------------|-------------------|---------------------|----------------|
| /A.R./ | 4,712,555 | 12/15/1987 | Thornander et al. | 128/419 PG | 03/27/1985 |
| ↓ | 4,788,980 | 12/06/1988 | Mann et al. | 128/419 PG | 07/18/1986 |
| ↓ | 4,830,008 | 5/16/1989 | Meer | 128/421 | 02/16/1988 |
| ↓ | 4,940,052 | 07/10/1990 | Mann et al. | 128/419 PG | 01/25/1989 |
| ↓ | 4,944,298 | 07/31/1990 | Sholder | 128/419 PG | 05/23/1989 |
| ↓ | 5,466,254 | 11/14/1995 | Helland | 607/123 | 09/22/1993 |
| ↓ | 6,266,564 B1 | 07/24/2001 | Hill et al. | 607/6 | 11/03/1999 |
| ↓ | 6,314,323 B1 | 11/06/2001 | Ekwall | 607/23 | 01/07/1999 |
| ↓ | 6,415,183 B1 | 07/02/2002 | Scheiner et al. | 607/42 | 12/09/1999 |
| ↓ | US 2002/ 0193697 A1 | 12/19/2002 | Cho et al. | 600/529 | 04/26/2002 |
| ↓ | US 2003/ 0153953 A1 | 08/14/2003 | Park et al. | 607/17 | 02/14/2002 |
| | | | | | |
| | | | | | |

Examiner /Anders Riutta/

Date Considered 08/28/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not consider d. Include copy of this form with next communication to applicant(s).

| | | |
|---|---|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A04P1008 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): Michael Benser and Gene A. Bornzin | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Country | Class / Subclass | Translation Yes/No |
|----------------------|---|----------------------|---------|--------------------------|-----------------------|
| | Int'l No.: | Int'l Publ. Date: | | Int'l Classification: | |
| /A.R./ | WO 01/ 41868 A1 | 14.06.2001 | WIPO | A61N 1/36 | |
| /A.R./ | WO 02/ 087433 A1 (Corrected Version) | 07.11.2002 | WIPO | A61B 5/0205 | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

/Anders Riutta/

08/28/2007

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

| | | |
|---|---|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A04P1008 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): Michael Benser and Gene A. Bornzin | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

PUBLICATIONS / OTHER ART

| Examiner Initials | Citation |
|----------------------|--|
| /A.R./ | de Chazal, Philip, Member IEEE, et al., "Automated Processing of the Single-Lead Electrocardiogram for the Detection of Obstructive Sleep Apnoea," <u>IEEE Trans. Biomedical Eng.</u> ; Vol. 50, No. 6 (2003), pp 686-696. |
| /A.R./ | Sériès, F. et al., "Functional Genomics of Sleep and Circadian Rhythm Selected Contribution: Influence of Genioglossus Tonic Activity on Upper Airway Dynamics Assessed by Phrenic Nerve Stimulation," J Appl Physiol; Vol. 92 (2002), pp 418-423. |
| /A.R./ | Sin, Don D., MD, MPH et al., "Effects of Continuous Positive Airway Pressure on Cardiovascular Outcomes in Heart Failure Patients with and without Cheyne-Stokes Respiration," Circulation: Vol. 102 (2000), pp 61-66. |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Examiner /Anders Riutta/

Date Considered 08/28/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).



| | | |
|---|--|--------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A04P1008 | Serial No. 10/765,624 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): Michael Benser and Gene A. Bornzin | |
| (Use several sheets if necessary) | Filing Date: 01/26/2004 | Group Art Unit: 3736 |

PUBLICATIONS / OTHER ART

| Examiner Initials | Citation |
|-------------------|---|
| /A.R./ | Frédéric Sériès, et al., "Assessment of Upper Airway stabilizing Forces with the Use of Phrenic Nerve Stimulation in Conscious Humans," <u>J Appl Physiol</u> , 2003; Vol. 94(2), pp. 2289-2295 |
| /A.R./ | T. Wißkirchen, et al., "Zentrales Schlafapnoe Syndrom und Periodische Atmung," <u>Therap Umschau</u> , 2000; Vol. 57(7), pp. 458-462 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Examiner /Anders Riutta/

Date Considered 08/28/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

U.S. PATENT DOCUMENTS[illegible]

08/28/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

| | | |
|--|---|---------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A04P1008 | Serial No. 10/765,624 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): Michael Benser and Gene A. Bornzin | |
| <i>(Use several sheets if necessary)</i> | Filing Date: 01/26/2004 | Group Art Unit: 3736 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Country | Class / Subclass | Translation Yes/No |
|-------------------|---------------|-------------------|---------|-----------------------|--------------------|
| | Int'l No.: | Int'l Publ. Date: | | Int'l Classification: | |
| /A.R./ | EP 0505195 A2 | 23.09.92 | Europe | A61N 1/36 | |
| /A.R./ | EP 0505195 A3 | 23.09.92 | Europe | A61N 1/36 | |
| /A.R./ | EP 1462146 A1 | 29.09.2004 | Europe | A61N 1/365 | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Examiner /Anders Riutta/

Date Considered 08/28/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).